

DOCKET NO: M0274.70029 US00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

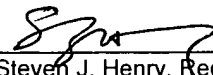
Applicant: Stuart Speakman et al  
Serial No: 09/937,979  
Confirmation No: 8937  
Filed: January 23, 2002  
For: METHOD OF FORMING A MASKING PATTERN ON A SURFACE

Examiner: M.L. Padgett  
Art Unit: 1762

RECEIVED  
NOV 25 2003  
TC 1700

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the 12th day of November, 2003.

  
Steven J. Henry, Reg. No. 27,900

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Transmitted herewith for filing are the following documents:

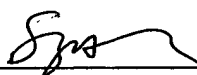
- ☒ Information Disclosure Statement, PTO Form-1449 And References Cited
- ☒ Return Post Card

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned collect at (617)720-3500, Boston, Massachusetts.

Enclosed is a check in the amount of \$180.00 to cover the fee for the Information Disclosure Statement. If an additional fee is needed, it may be charged to the account of the undersigned, Deposit Account No. 23/2825. A duplicate of this sheet is enclosed.

Respectfully submitted,

By:

  
Steven J. Henry, Reg. No. 27,900  
Wolf, Greenfield & Sacks, P.C.  
600 Atlantic Avenue  
Boston, Massachusetts 02210-2211  
(617) 720-3500

Docket No. M0274.70029 US00  
Date: November 12, 2003  
xndd



DOCKET NO: M0274.70029 US00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

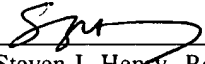
Applicant: Stuart Speakman et al  
Serial No: 09/937,979  
Confirmation No: 8937  
Filed: January 23, 2002  
For: METHOD OF FORMING A MASKING PATTERN ON A SURFACE

Examiner: M.L. Padgett  
Art Unit: 1762

RECEIVED  
NOV 25 2003  
TC 1700

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the 12th day of November, 2003.

  
Steven J. Henry, Reg. No. 27,900

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

STATEMENT FILED PURSUANT TO THE DUTY OF DISCLOSURE UNDER 37 CFR §§1.56, 1.97 AND 1.98

Sir:

Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, the Applicant requests consideration of this Information Disclosure Statement.

PART I: Compliance with 37 C.F.R. §1.97

[ x ] This Information Disclosure Statement has been filed more than three months after the filing date of this application and after the mailing date of the first Office Action, but before the mailing date of either a final action under 37 C.F.R. §1.113 or a Notice of Allowance under 37 C.F.R. §1.311, or an action that otherwise closes prosecution in this application.

[ x ] The fee of \$180 as set forth in 37 C.F.R. §1.17(p) is enclosed.

PART II: Information Cited

A.     ☒ The Applicant hereby makes of record in the above-identified application the information listed on the attached form PTO-1449 (modified). The order of presentation of the references should not be construed as an indication of the importance of the references.

B.     ☒ The Applicant hereby makes the following additional information of record in the above-identified application.

1.     ☒ The above-identified U.S. application claims priority to application Serial No. PCT/GB00/02077. If the Examiner has not had the benefit of review of the file history of PCT/GB00/02077, then she is asked to contact the undersigned, who will provide a copy of same.
2.     ☒ The Applicant would like to bring to the Examiner's attention the enclosed search report from a corresponding International or Foreign National Application.

PART III: Remarks

Documents cited anywhere in the Information Disclosure Statement are enclosed unless otherwise indicated. It is respectfully requested that:

1. The Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims;
2. The enclosed form PTO-1449 be signed by the Examiner to evidence that the cited information has been fully considered by the Patent and Trademark Office during the examination of this application;
3. The citations for the information be printed on any patent which issues from this application.

By submitting this Information Disclosure Statement, the Applicant makes no representation that a search has been performed, of the extent of any search performed, or that more relevant information does not exist.


By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. §102.

Notwithstanding any statements by the Applicant, the Examiner is urged to form his own conclusion regarding the relevance of the cited information.

An early and favorable action is hereby requested.

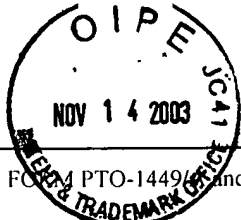
Respectfully submitted,  
Stuart Speakman et al, *Applicant*

By:   
Steven J. Henry, Reg. No. 27,900  
Wolf, Greenfield & Sacks, P.C.  
600 Atlantic Avenue  
Boston, Massachusetts 02210-2211  
(617) 720-3500

Docket No. M0274.70029 US00

Date: November 12, 2003

**XNDDX**



<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>		APPLICATION NO.: 09/937,979		ATTY. DOCKET NO.: M00274.70029.US	
		FILING DATE: January 23, 2002		CONFIRMATION NO.: 8937	
		APPLICANT: Stuart Speakman, et al.			
		GROUP ART UNIT: 1762		EXAMINER: Marianne L. Padgett	
Sheet	1	of	1		

#### U.S. PATENT DOCUMENTS

Examiner's Initials	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication or of issue of Cited Document MM-DD-YYYY
		Number	Kind Code		
		5,637,426		Uchikawa	06-10-1997
		5,132,248		Drummond et al	07-21-1992

#### FOREIGN PATENT DOCUMENTS

Examiner's Initials	Cite No.	Foreign Patent Document			Name of Patentee or Applicant of Cited Document (not necessary)	Date of Publication of Cited Document MM-DD-YYYY	Translation (Y/N)
		Office/ Country	Number	Kind Code			
		WO	99/19900	A2		04-22-1999	
		WO	95/23244	A1		08-31-1995	
		DE	3740149	A			N
		DE	3728337	A			N
		JP	090219019	A			N
		JP	080240803	A			N
		W0	99/60829	A2		11-25-1999	

#### OTHER ART — NON PATENT LITERATURE DOCUMENTS

Examiner's Initials	Cite No	Include name of the author (in CAPITAL LETTERS) title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, relevant page(s), volume-issue number(s), publisher, city and/or country where published.	Translation (Y/N)
		International Search Report from Applications GB 9912437.2 and GB 0005929.5	

EXAMINER	DATE CONSIDERED
----------	-----------------

#EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

\*a copy of this reference is not provided as it was previously cited by or submitted to the office in a prior application, Serial No. \_\_, filed \_\_, and relied upon for an earlier filing date under 35 U.S.C. 120 (continuation, continuation-in-part, and divisional applications).

[NOTE - Must provide a copy of any patent, publication, other information listed, even if it was previously submitted to, or cited by, the U.S. Patent Office in an earlier application, unless the earlier application is identified by the IDS and is relied upon for an earlier filing date under 35 U.S.C. §120, and the copy was provided in the earlier application.]